414 Rec'd PCT/PTO 1 1 FEB 1999

Docket No. 2026-4236US1

14 August 1996 (14.08.96)

[] RECEIVING OFFICE (RO/US) 9/242202 [X] DESIGNATED OFFICE (DO/US)

IN THE UNITED STATES

[X] ELECTED OFFICE (EO/US) INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO.

14 August 1997 (14.08.97) PCT/US97/14306 TITLE OF INVENTION

A VECTOR FOR POLYNUCLEOTIDE VACCINES

APPLICANT(S)

Edward L. NELSON and Peter J. NELSON

Assistant Commissioner for Patents Box PCT Washington, D.C. 20231

Attention: DO/EO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE (INTERNATIONAL APPLICATION (37 CFR 1.10(c))

I declare that on 11 February 1999 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EE645139641US, addressed to the "Assistant Commissioner for Patents, Washington, D.C. 20231" and having an express mail certification which I executed, the following papers:

Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, duly executed; Copy of the first page of the International application as published No. WO 98/06863, with International Search Report; Copy of the International Preliminary Examination Report; Preliminary Amendment; Disk containing substitute Sequence Listing and paper sequence listing; Statement Under 37 CFR §1.821(f) or §1.825(a and b); Check for \$2,204.00 (filing fee) and Return Postcard

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Albert Isles

(Typed or printed name of person making this verified statement)

Date 11 February 1999

Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])

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PATENT

Docket No.: 2026-4236US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (DO/EO)

Applicant(s) :

Edward NELSON et al

International

Application No.:

PCT/US97/14306

International

Filing Date

14 August 1997 (14.08.97)

Priority Date:

14 August 1996 (14.08.96)

For

A NOVEL VECTOR FOR POLYNUCLEOTIDE

VACCINES

Assistant Commissioner of Patents

Box PCT

Washington, D.C. 20231

Attention: DO/EO/US

STATEMENT UNDER 37 C.F.R. §1.821(f) or 1.825(a and b)

I hereby certify that:

- [] The written Sequence Listing enclosed herein and the information recorded in computer readable form submitted with International Application No. PCT/US97/14306 is identical (37. C.F.R. §1.821(f)).
- [X] The substitute paper Sequence Listing and substitute computer readable Sequence Listing submitted herewith are identical. No new matter is included (37 C.F.R. §1.825(a and b)).

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: February 11, 1999

1109.

By:

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